

# 2005a Introduction to Shimura varieties (Toronto long version)

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## Erratum

Some of these have been fixed in the version under “Expository Notes”.

**p9, top.** It is an *isomorphism* of riemannian manifolds that is called an isometry, not a morphism.

**p12, footnote 10.** It was H. Cartan, not E. Cartan, who proved that the group of isometries of a bounded domain has a natural structure of a Lie group; then E. Cartan proved that the group of isometries of a symmetric bounded domain is semisimple (see Borel, Essays . . . , 2001, IV 6).

**p30, proof of 2.14, just above the diagram 26.** I write  $G \xrightarrow{\text{Ad}} \mathfrak{g}$  where I should write  $G \xrightarrow{\text{Ad}} \text{GL}(\mathfrak{g})$  (from Bin Du).

**p.51, l.5** ... depend on a (from Timo Keller).

**p.59, Lemma 5.22** This is misstated: in general,  $T(\mathbb{Q})$  is not closed in  $T(\mathbb{A}_f)$  (unless  $(G, X)$  satisfies SV5) and so  $T(\mathbb{Q}) \backslash T(\mathbb{A}_f)$  is not Hausdorff (hence not compact). The last step of the proof “An arbitrary torus ...” fails when  $T(\mathbb{Q}) \backslash T(\mathbb{A}_f)$  is not compact. The proof of the finiteness of  $T(\mathbb{Q}) \backslash T(\mathbb{A}_f) / \nu(K)$  needs to be rewritten. (Bas Edixhoven)

**p.67.** In the display under SV1, interchange  $z/\bar{z}$  and  $\bar{z}/z$ .

**p80, 81.** Lucio Guerberoff points out that the uniqueness assertion in Proposition 8.14 fails and that the condition (\*\*) in Theorem 8.17 is inadequate. He writes (slightly edited):

In Theorem 8.17, you say that your condition (\*\*) on the isomorphism  $a$  is enough to guarantee that  $ah_A$  belongs to  $X$  ( $h_A$  being the morphism defining the Hodge structure on  $H_1(A, \mathbb{Q})$ ). However I believe that this only implies that  $ah_A$  belongs to the Siegel double space of  $(V, \psi)$ , but not necessarily to the  $G(\mathbb{R})$ -conjugacy class  $X$ . More precisely, I’m not sure if I’m missing something in Proposition 8.14. I tried to reproduce all the relevant calculations, comparing with Kottwitz’s JAMS paper, and my conclusion is that if  $x$  is a morphism from  $\mathbb{S}$  to  $G_{\mathbb{R}}$ , then  $x$  belongs to  $X$  if and only if two conditions hold:

- 1)  $x$  lies in the Siegel double space of  $(V, \psi)$ , and
- 2) (fix one morphism  $h$  in  $X$ ) the two  $B \otimes_{\mathbb{Q}} \mathbb{C}$  structures on  $V \otimes_{\mathbb{Q}} \mathbb{C}$  (one is  $x(i)$ , other one is  $h(i)$ ) are isomorphic.

Condition 1) only guarantees that they will be  $\mathbb{C}$ -isomorphic, not necessarily in a  $B$ -linear way. I don’t see how this would be automatically implied from 1). In other words, your statement of Proposition 8.14 suggests that if  $x$  and  $h$  have target  $G_{\mathbb{R}}$ , satisfy 1) and are conjugate under  $\text{GSp}(\psi)(\mathbb{R})$ , then they are also  $G(\mathbb{R})$ -conjugate, which doesn’t seem to be the case. (For example, take a unitary group of signature  $(r, s)$  over a CM extension  $K/\mathbb{Q}$ ,  $K$  quadratic imaginary say, and starting with the usual  $h(z) = \text{diag}(zI_r, \bar{z}I_s)$ , consider  $h'(z) = h(\bar{z})$ ; then  $h'$  has target  $G_{\mathbb{R}}$ , and is obviously on the Siegel double space (to form  $\psi$ , use a trace zero element in  $K$ ), but it’s not  $G(\mathbb{R})$ -conjugate to  $h$  unless  $r = s$ ).

In the same vein, I’m seeing condition (\*\*) as missing something. In the same example, suppose the hermitian space defining the unitary group is  $(V, \langle, \rangle)$ ,

and consider  $(V, -\langle, \rangle)$ , so it has signature  $(s, r)$ . Neither of the conditions on Theorem 8.17 care about whether you look at  $\langle, \rangle$  or  $-\langle, \rangle$ , but the Shimura variety of  $-\langle, \rangle$  should be the complex conjugate of the variety for  $\langle, \rangle$ .

**p100, top line (proof of 11.2).** Delete “therefore” from “The map therefore factors through. . .” — as Brian Conrad reminded me the group  $\mathbb{A}_{E,f}^\times / E^\times$  need not be Hausdorff. In a detailed proof, one replaces  $\mathbb{A}_{E,f}^\times / E^\times$  with a quotient  $T(\mathbb{A}_f) / T(\mathbb{Q})$ , which is Hausdorff. Here  $T$  is a certain subtorus of  $(\mathbb{G}_m)_{E/\mathbb{Q}}$ . See my notes on Complex Multiplication for the details.

**p124, top.** Shenghao Sun has pointed out to me that the statement that pro-tori correspond to *free*  $\mathbb{Z}$ -modules with a continuous action of  $\Gamma$  is contradicted on the next page where I show that the character group of  $\mathbb{G}$  is  $\mathbb{Q}$ . The “free” should be “torsion-free”.