

# Algebraic Schemes and Algebraic Spaces

In this course, we have attached an affine algebraic variety to any algebra finitely generated over a field  $k$ . For many reasons, for example, in order to be able to study the reduction of varieties to characteristic  $p \neq 0$ , Grothendieck realized that it is important to attach a geometric object to *every* commutative ring. Unfortunately,  $A \mapsto \text{spm } A$  is not functorial in this generality: if  $\varphi: A \rightarrow B$  is a homomorphism of rings, then  $\varphi^{-1}(\mathfrak{m})$  for  $\mathfrak{m}$  maximal need not be maximal — consider for example the inclusion  $\mathbb{Z} \hookrightarrow \mathbb{Q}$ . Thus he was forced to replace  $\text{spm}(A)$  with  $\text{spec}(A)$ , the set of all prime ideals in  $A$ . He then attaches an *affine scheme*  $\text{Spec}(A)$  to each ring  $A$ , and defines a *scheme* to be a locally ringed space that admits an open covering by affine schemes.

There is a natural functor  $V \mapsto V^*$  from the category of algebraic spaces over  $k$  to the category of schemes of finite-type over  $k$ , which is an equivalence of categories. The algebraic varieties correspond to geometrically reduced schemes. To construct  $V^*$  from  $V$ , one only has to add one point  $p_Z$  for each irreducible closed subvariety  $Z$  of  $V$  of dimension  $> 0$ ; in other words,  $V^*$  is the set of irreducible closed subsets of  $V$  (and  $V$  is the subset of  $V^*$  of zero-dimensional irreducible closed subsets of  $V$ , i.e., points). For any open subset  $U$  of  $V$ , let  $U^*$  be the subset of  $V^*$  containing the points of  $U$  together with the points  $p_Z$  such that  $U \cap Z$  is nonempty. Thus,  $U \mapsto U^*$  is a bijection from the set of open subsets of  $V$  to the set of open subsets of  $V^*$ . Moreover,  $\Gamma(U^*, \mathcal{O}_{V^*}) = \Gamma(U, \mathcal{O}_V)$  for each open subset  $U$  of  $V$ . Therefore the topologies and sheaves on  $V$  and  $V^*$  are the same — only the underlying sets differ. For a closed irreducible subset  $Z$  of  $V$ , the local ring  $\mathcal{O}_{V^*, p_Z} = \lim_{\rightarrow U \cap Z \neq \emptyset} \Gamma(U, \mathcal{O}_U)$ . The reverse functor is even easier: simply omit the nonclosed points from the base space.<sup>1</sup>

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<sup>1</sup>Some authors call a geometrically reduced scheme of finite-type over a field a variety. Despite their similarity, it is important to distinguish such schemes from varieties (in the sense of these notes). For example, if  $W$  and  $W'$  are subvarieties of a variety, their intersection in the sense of schemes need not be reduced, and so may differ from their intersection in the sense of varieties. For example, if  $W = V(\mathfrak{a}) \subset \mathbb{A}^n$  and  $W' = V(\mathfrak{a}') \subset \mathbb{A}^{n'}$  with  $\mathfrak{a}$  and  $\mathfrak{a}'$  radical, then the intersection  $W$  and  $W'$  in the sense of schemes is  $\text{Spec } k[X_1, \dots, X_{n+n'}]/(\mathfrak{a}, \mathfrak{a}')$  while their intersection in the sense of varieties is  $\text{Spec } k[X_1, \dots, X_{n+n'}]/\text{rad}(\mathfrak{a}, \mathfrak{a}')$  (and their intersection in the sense of algebraic spaces is  $\text{Spm } k[X_1, \dots, X_{n+n'}]/(\mathfrak{a}, \mathfrak{a}')$ ).

Every aspiring algebraic and (especially) arithmetic geometer needs to learn the basic theory of schemes, and for this I recommend reading Chapters II and III of Hartshorne 1997.